

# EXHIBIT 9

0. \*

63,864.42 +

13.99 +

63,878.41 \*

63,878.41 ÷

83.875 =

761.59 \*

83.875 x

761. =

63,828.88 +I

63,828.88 x

0.025 =

1,595.72 \*

1,595.72 ÷

4. x

8. =

3,191.44 \*

3,191.44 +I

761. x

2.25 =

1,712.25 \*

1,712.25 x

0.03125 =

53.51 +I

67,073.83 ÷I

67,073.83 ÷

1,712.25 =

39.17 \*

39.25 x

1,712.25 =

67,205.81 -I

131.98-\*I

131.98-÷

0.125 =

1,055.84-\*

1,712.00 +

1,052.00 -

660.00 \*

MADTSS00401002

56 180.81

80

|                           |           |           |                |                  |
|---------------------------|-----------|-----------|----------------|------------------|
| 1/21 Rus Oil<br>2107.13   | 56 172.00 | 58 279.13 | 6 2 1/2 3/10   | <del>8.81</del>  |
| 3/13 INA                  | 58 268.25 | 61 181.80 | 8 2 1/2 5/9    | <del>19.69</del> |
| 5/5 Heing<br>2676.92      | 61 187.50 | 63 864.42 | 7 2 1/2 7/1    | <del>13.99</del> |
| 6/30 Aetna<br>3191.88     | 63 828.88 | 67 020.76 | 8 2 1/2 9/1    | <del>49.53</del> |
| 9/5 Amex<br>3350.40       | 67 008-   | 70 358.40 | 8 2 1/2 10/30  | <del>62.29</del> |
| 10/30 Rockwell<br>3520.66 | 70 404.75 | 73 925.41 | 8 2 1/2 12/31  | 15.94            |
| 12/31 Check<br>Check (P)  | 10 000-   | 5000-     | Tonison<br>" " |                  |

68 941.35

ICE - Actua Life  
 + Casualty 2 P/L

761 @  $83\frac{7}{8} =$   
 63828.88

1052  $39\frac{1}{8}$   $\frac{41159.50}{32.88}$   
 41126.62

660  $39\frac{1}{4} = 25905 -$   
 20.63  
 25884.37

7 = 9.77

|        |          |
|--------|----------|
| 6/23 B | 67020.76 |
| 6/25 S |          |

1712.25

8 -  $2\frac{1}{2}$   
 3191.88

9/1

0. \*

61,201.49 ÷  
27.5 =  
2,225.51 \*

27.5 ×  
2,225. =  
61,187.50 +I  
61,187.50 ×  
0.025 =  
1,529.69 \*

1,529.69 ÷  
4. ×  
7. =  
2,676.96 \*

2,676.96 +I  
2,225. ×  
0.75 =  
1,668.75 \*

1,668.75 ×  
0.03125 =  
52.15 +I  
63,916.61 ÷I  
63,916.61 ÷  
1,668.75 =  
38.30 \*

38.375 ×  
1,668.75 =  
64,038.28 -I  
121.67 -\*I

121.67 -÷  
0.125 =  
973.36 -\*

1,668.00 +  
973.00 -  
695.00 \*



**Bernard L. Madoff**  
INVESTMENT SECURITIES

Established 1960  
110 Wall Street, New York, NY 10006

TELEPHONE (212) 825-3910  
P&S DEPT. (212) 825-3916  
TELETYPE (710) 581-3082  
TELEX 235130  
WATS (800) 221-2242

|                    |              |               |                |             |            |                               |     |      |            |                 |  |
|--------------------|--------------|---------------|----------------|-------------|------------|-------------------------------|-----|------|------------|-----------------|--|
| ORIGINAL           | TORNO        | DELIVERED VIA | ACCOUNT NUMBER | D/R         | TRANS. NO. | TR                            | CAP | SETT | TRADE DATE | SETTLEMENT DATE |  |
| 0646               |              |               |                |             |            |                               |     |      | 4/28/80    | 5/5/80          |  |
| IDENTIFICATION NO. | CONTRA PARTY |               |                | C.H. NUMBER |            | SPECIAL DELIVERY INSTRUCTIONS |     |      |            |                 |  |
|                    |              |               |                |             |            |                               |     |      |            |                 |  |

REDACTED

| WE  | QUANTITY | CUSIP NUMBER | SECURITY DESCRIPTION |  |  |  |  |  |  | NET AMOUNT |
|-----|----------|--------------|----------------------|--|--|--|--|--|--|------------|
| 580 | 2225     |              | HEINZ 1.70 CONV PFD  |  |  |  |  |  |  | 61187.50   |

| PRICE  | PRINCIPAL | COMMISSION | STATE TAX | INTEREST | SEC.FEE | MISC. |
|--------|-----------|------------|-----------|----------|---------|-------|
| 27 1/2 | 61187.500 |            |           |          |         |       |

MEMBERS

NASD NSCC SIAC DTC SIPC

COMPARISON DUPLICATE



**Bernard L. Madoff**  
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|                    |               |                |     |            |             |                               |      |            |                 |
|--------------------|---------------|----------------|-----|------------|-------------|-------------------------------|------|------------|-----------------|
| ORIGINATOR NO.     | DELIVERED VIA | ACCOUNT NUMBER | D/R | TRANS. NO. | TR          | CAP                           | SETT | TRADE DATE | SETTLEMENT DATE |
| 0646               |               |                |     |            |             |                               |      | 4/30/80    | 5/7/80          |
| IDENTIFICATION NO. | CONTRA PARTY  |                |     |            | C.H. NUMBER | SPECIAL DELIVERY INSTRUCTIONS |      |            |                 |
|                    |               |                |     |            |             |                               |      |            |                 |

REDACT  
ED

| WE     | QUANTITY | CUSIP NUMBER | SECURITY DESCRIPTION |           |          |          |       |  |  | NET AMOUNT |
|--------|----------|--------------|----------------------|-----------|----------|----------|-------|--|--|------------|
| BOF    | 973      |              | MELTZ                |           |          |          |       |  |  | 37126.84   |
| PRICE  |          | PRINCIPAL    | COMMISSION           | STATE TAX | INTEREST | SEC. FEE | MISC. |  |  |            |
| 30 1/4 |          | 37217.23     |                      | 30.41     |          |          |       |  |  |            |

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|--------------------|---------------|----------------|-----|------------|-------------|-----|-------------------------------|------------|-----------------|
| ORIGINATOR NO.     | DELIVERED VIA | ACCOUNT NUMBER | D/R | TRANS. NO. | TR          | CAP | SETT                          | TRADE DATE | SETTLEMENT DATE |
| 0646               |               |                |     |            |             |     |                               | 4/30/80    | 5/7/80          |
| IDENTIFICATION NO. | CONTRA PARTY  |                |     |            | C.H. NUMBER |     | SPECIAL DELIVERY INSTRUCTIONS |            |                 |
|                    |               |                |     |            |             |     |                               |            |                 |

REDACTED

| WE      | QUANTITY | CUSIP NUMBER | SECURITY DESCRIPTION |           |          |          |       |  |  | NET AMOUNT |
|---------|----------|--------------|----------------------|-----------|----------|----------|-------|--|--|------------|
| FOR GOR |          |              | HEINZ                |           |          |          |       |  |  | 26642.01   |
| PRICE   |          | PRINCIPAL    | COMMISSION           | STATE TAX | INTEREST | SEC. FEE | MISC. |  |  |            |
| 38 3/8  |          | 26670.63     |                      | 21.72     |          |          |       |  |  |            |

MEMBERS

NASD NSCC SIAC DTC SIPC

COMPARISON DUPLICATE





08-01789-cdm Dec 20469-15 Filed 04/28/21 Entered 04/28/21 18:04:38 PT. 2129825-3916  
INVESTMENT SECURITIES PG 12

Established 1960  
110 Wall Street, New York, NY 10005

TELEPHONE (212) 825-3910  
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TELEX 235130  
WATS (800) 221-2242

WE HAVE THIS DAY Credited Your  
ACCOUNT WITH THE FOLLOWING:

NEW YORK, 5/7/80

FRACTIONAL SHARES HEINEZ

28.67

CLIENT'S ACCOUNT NUMBER

IRWIN & CAROLE LIPKIN

MADTSS00400992

ICE - Heinz

2225  $27\frac{1}{2}$  61,187.50

973  $38\frac{1}{4}$   $\frac{37217.25}{3041}$   

---

37186.84

695  $38\frac{3}{8} = 26670.63$   
21.72

---

26648.91

7 = 28,67



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IN ACCOUNT WITH

TELEPHONE (212) 825-3910  
P & S DEPT. (212) 825-3916  
TELETYPE (710) 581-3082  
WATS (800) 221-2242

Ex. 9

REDAC  
TED

PERIOD ENDING  
12/31/80

PAGE

YOUR ACCOUNT NUMBER

YOUR TAX PAYER IDENTIFICATION NUMBER

| DATE  | BOUGHT<br>RECEIVED OR LONG | SOLD<br>DELIVERED OR SHORT | TRN | DESCRIPTION           | PRICE OR SYMBOL | AMOUNT DEBITED<br>TO YOUR ACCOUNT | AMOUNT CREDITED<br>TO YOUR ACCOUNT |
|-------|----------------------------|----------------------------|-----|-----------------------|-----------------|-----------------------------------|------------------------------------|
| 12/22 | 1202                       | 653                        |     | BALANCE FORWARDED     |                 |                                   | 56,180.81                          |
| 12/22 |                            | 1110                       |     | RESERVE OIL & GAS     | 46 1/2          | 56,172.00                         | 21,528.59                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 33              |                                   | 36,734.06                          |
| 12/22 |                            | 714                        |     | RESERVE OIL & GAS COM | 33 1/8          |                                   | 16.40                              |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 29 7/8          |                                   | 21,308.44                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 30              |                                   | 39,858.44                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 21 3/8          | 58,268.25                         | 14.92                              |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 27 1/2          | 61,187.50                         |                                    |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 38 1/4          |                                   | 37,186.84                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 38 3/8          |                                   | 26,648.91                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 83 7/8          | 63,828.88                         | 28.67                              |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 39 1/8          |                                   | 41,126.62                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 39 1/4          |                                   | 25,884.37                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 51 1/4          |                                   | 9.77                               |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 51 3/8          |                                   | 26,684.97                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 51 3/8          |                                   | 43,642.19                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 64              | 67,008.00                         | 31.24                              |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 34 1/8          |                                   | 61,934.68                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 34 1/4          |                                   | 11,980.50                          |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 20 1/4          | 78,604.75                         | 10.23                              |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 10,000.00       |                                   |                                    |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM | 5,000.00        |                                   |                                    |
| 12/22 |                            | 1330                       |     | RESERVE OIL & GAS COM |                 |                                   | 68,941.35                          |

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES.

|                    |                                      |
|--------------------|--------------------------------------|
| HJ Henry 1.70      | 3 <sup>rd</sup> (P. 1)               |
|                    | TP = .75 C                           |
| 4/9/79 - 5/18 D    | 1P = 1.5                             |
| 8/13/79 - 10/1 D   | 9/29 - 11/16                         |
| 12/1/79 - 1/22 D   | 12/4/81 - 1/25/82                    |
| 12/19/80 - 4/8 D   | 2/3/82 - 4/2/82                      |
| 5/7/80 - 7/2       | 6/30/82 - 9/7/82                     |
| 8/7/80 - 10/3      | 9/20/82 - 11/24/82                   |
| 11/27/80 - 1/20/81 | <del>4/2/84</del> - 4/24/84 - 7/3/84 |
| 3/4/81 - 5/5       | 8/8/84 - 10/11/84                    |
| 7/30/81 - 9/16     |                                      |